	Regulations	IND IV-14-2024
NPJSC "Abylkas Saginov	On Holding a Scientific Project Competition for	Version 01
Karaganda	the Grant of Abylkas Saginov Karaganda	Date 2024.30.10
Technical University"	<b>Technical University for Young Scientists</b>	Page 1 of 16

Approved by Chairman of the Board – Rector NPJSC "Abylkas Saginov Karaganda Technical University"



#### REGULATIONS

#### ON HOLDING A SCIENTIFIC PROJECT COMPETITION FOR THE GRANT OF ABYLKAS SAGINOV KARAGANDA TECHNICAL UNIVERSITY FOR YOUNG SCIENTISTS «SAGINOV'S JAS ZERTTEÝSHILERI»

#### **IND IV-14-2024**

Developed by: head of department of STP <u>R. Azilkhanov</u>

engineer of SID Makasheva A.T

Karaganda

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#### Contents

1 Scope of Application	3
2 Terms, Definitions, and Abbreviations	3
3 Goals and Objectives of the Competition	3
4 Organizers and Working Bodies of the Competition	4
5 Functions of the Organizer and Competition Commission	4
6 Application Procedure for Participation in the Competition	4
7 Criteria for competitive selection	6
8 Procedure for Conducting and Summarizing the Results of the Competition	7
9 Rights and Obligations of Participants and Winners of the Competition	7
10 Amendments to the Document	8
11 Approval and Enforcement	8
12 Document Reproduction and Distribution	8
13 Storage	8
Appendix A – Information about the Participant of the Scientific Project Compe- tition for the Grant of Karaganda Technical University for Young Scientists	9
Appendix B – Abstract for Participation in the Scientific Project Competition for the Grant of Karaganda Technical University for Young Scientists	10
Appendix C – Structure of the Presentation	12
Appendix D – Project Budget	13
Appendix E – Approval Sheet	14
Appendix F – Acknowledgment Sheet	15

#### **1** Scope of Application

This Regulation on the Scientific Project Competition for the Grant of Karaganda Technical University for Young Scientists (hereinafter referred to as the Competition) defines the goals and objectives of the Competition, the procedure for organizing and conducting the competition among young scientists of Non-Profit Joint Stock Company «Abylkas Saginov Karaganda Technical Uni-versity» (hereinafter- University), the requirements for participants, the regulations of the competition commission, and the criteria for the competitive selection of scientific projects.

### 2 Terms, Definitions, and Abbreviations

The following terms with corresponding definitions and abbreviations are used in this regulation:

- Grant – targeted financial resources provided to the winners of the Competition for conducting scientific research and experimental design work;

- Competition – the scientific project competition for the grant of Abylkas Saginov Karaganda Technical University for young scientists;

- University – Non-Profit Joint Stock Company «Abylkas Saginov Karaganda Technical University»;

- Provisions Regulations on postdoctoral studies;
- EC Expert Council;
- STC Scientific and Technical Council;
- CYS Council of Young Scientists;
- SID Science and Innovation Department;
- YU Young Scientist, aged up to 35 years inclusive;
- SRW Scientific Research Work.

- ISSN – International Standard Serial Number – a unique international 8-digit number identifying a periodic print or digital publication;

- CQASHE – Committee for Quality Assurance in Science and Higher Education.

#### **3** Goals and Objectives of the Competition

3.1 The main goal of the Competition is to stimulate research activities and develop the scientific potential of young scientists at University.

3.2 The objectives of the Competition are:

- To popularize the scientific activities of young scientists at NPJSC «Abylkas Saginov Karaganda Technical University»;

- To support youth initiatives for the development and implementation of promising scientific projects that ensure the socio-economic development of the country;

- To provide an opportunity for young people to develop their own scientific, technical, and experimental design ideas.

## 4 Organizers and Working Bodies of the Competition

4.1 The organizers of the Competition are SID and CYS.

4.2 The working body of the competition is the competition commission.

4.3 The competition commission includes: the Vice-Rector for Research, the Director of the SID, representatives of the STC, EC, CYS.

4.4 The number and amount of grants are determined by the order of the Rector of Abylkas Saginov Karaganda Technical University.

4.5 The competition is held annually, and the dates of the competition are determined by the order of the Rector of Abylkas Saginov Karaganda Technical University.

4.6 The Vice-Rector for Research oversees the conduct of the competition.

4.7 A grant for scientific projects is a budgetary fund provided gratuitously and irrevocably for conducting scientific research.

# 5 Functions of the Organizer and Competition Commission

5.1 The organizer of the competition ensures:

- The necessary organizational, expert, and informational support of the competition.

- Consulting participants regarding the preparation and completion of competition documentation and application forms;

- Collecting and registering applications submitted by competition participants.

5.2 The competition commission:

- Ensures transparency, accessibility, objectivity, and publicity in the review and selection of winning applications;

- Verifies that submitted applications meet the conditions of the competition;

- Conducts the competitive selection of the most promising applications;
- Makes final decisions on the winners of the competition.

5.3 The competition selection is conducted by the competition commission based on the objective advantages of the applications through voting.

# **6** Application Procedure for Participation in the Competition

6.1 Grant applicants for scientific research may be full-time academic staff, scientific researchers, doctoral students, and master's students, aged 35 years or younger at the time of the competition:

- Actively involved in scientific research to solve scientific problems;

- Citizens (residents) of the Republic of Kazakhstan.

6.2 The participants in the competition must have significant scientific achievements:

- Articles in journals;

- Patents and/or certificates of rights registration for intellectual property;

- Presentations at conferences.

6.3 The grant applicant submits an application in accordance with the requirements set for this competition.

6.4 Each applicant may submit no more than one application for this competition.

6.5 Winners of previous competitions for young scientists at Abylkas Saginov Karaganda Technical University, as well as enrolled postdoctoral researchers, including winners of the Ministry of Education and Science of the Republic of Kazakhstan's «Zhas Galym» grant, are not eligible to participate in the competition.

6.6 Works that have previously been awarded other grants and prizes, including grants and prizes from foreign countries, are not eligible for participation in this competition.

6.7 The application submitted for the competition must be in accordance with the following forms and include the following documents:

- Information about the participant (Appendix A);

- Abstract of the scientific project (Appendix B);

- Project presentation in electronic format (pdf), prepared according to Appendix

С

- Project budget (Appendix D).

- An excerpt from the department meeting confirming the relevance of the presented project and its compliance with the declared scientific research objectives.

6.8 The competition documentation must be submitted in both printed and electronic formats by the deadlines specified in the competition announcement. Applications may be submitted in either the state or Russian languages.

6.8.1 The work must be submitted in one original copy, with numbered pages, bound and signed.

6.9 Documents should be sent to the SID in both paper and electronic formats to the following address: 100027, Republic of Kazakhstan, Karaganda, Nursultan Nazarbayev Ave., 56, main building, office 228. Phones: 8(7212)56-51-87, 56-68-22, or internal 2226, e-mail: dn-kstu@mail.ru.

6.10 Grants are awarded for a period of up to one year.

6.11 By submitting an application, the participant confirms that the submitted project does not contain information constituting commercial, state, or other forms of secret and agrees to provide information about it to the organizer of the competition (including by publishing the project in media outlets, print publications, and the internet).

6.12 By signing the application, the applicant guarantees that they:

- Agree to the terms of participation in this competition;

- Do not claim confidentiality for the scientific materials presented in the application;

- Declare that the project topic has not received funding from state budgets or other sources.

6.13 All submitted applications will be evaluated on a scale from 1 to 10 points based on the evaluation criteria specified in Section 7.

	Regulations	IND IV-14-2024
NPJSC "Abylkas Saginov	On Holding a Scientific Project Competition for	Version 01
Karaganda	the Grant of Abylkas Saginov Karaganda	Date 2024.30.10
Technical University"	<b>Technical University for Young Scientists</b>	Page 6 of 15

6.14 Заявки, не соответствующие условиям конкурса, представленные с нарушением правил оформления или поступившие на конкурс после указанного в информационном сообщении срока, не рассматриваются.

6.15 The reasons for rejecting the applications will not be communicated to the applicants.

6.16 The materials of the competition application will not be returned to the applicants.

#### 7 Criteria for competitive selection

When evaluating competitive applications to determine the contest winner, the following criteria are established:

No.	Criterion Name	<b>Maximum Points</b>
1	Relevance	10
2	Literature Data Analysis and Problem Statement	10
3	Research Goals and Objectives	10
4	Novelty	10
5	Degree of Development	10
6	Availability of Intellectual Property (patents, pre-patents, inventions, state registration of intellectual property)	10
7	Practical Significance of the Project	10
8	Socio-economic Efficiency	10
9	Expected Project Results	10
10	Field of Application of Results	10
Tota		100

#### 8 Procedure for Conducting and Summarizing the Results of the Competition

8.1 The competition committee is approved by order of the Member of the Board - Vice-Rector for Science and Innovation. The Contest Organizer conducts a presentation of participants' projects before the contest commission.

8.2 Winners are determined by the contest commission based on the project presentations:

- Each member of the contest commission assigns points to the participants according to each criterion;

- Points are summed up across all criteria (Section 7).

8.4 The decision of the Competition Commission shall be formalized by a minutes.

8.5 Based on the commission's decision, the Rector issues an order to allocate grants to the contest winners. Information about the winners is communicated to participants personally and published on the official university website (www.kstu.kz).

8.6 After the results are announced, the winner signs a contract to conduct re-

	Regulations	IND IV-14-2024
NPJSC "Abylkas Saginov	On Holding a Scientific Project Competition for	Version 01
Karaganda	the Grant of Abylkas Saginov Karaganda	Date 2024.30.10
Technical University"	<b>Technical University for Young Scientists</b>	Page 7 of 15

search work (R&D), prepares a project budget to ensure funding, and registers the R&D with the SID. Grant funds are spent in accordance with the approved budget. Technical support for the supported projects is provided by the SID.

8.7 Upon completing the grant work, project performers must submit scientific and financial reports. The scientific report must comply with the requirements of GOST 7.32-2017, be provided in printed form, signed by the project executor, and approved by the Vice-Rector for Research. The financial report must follow Appendix G, be signed by the project executor and the accountant overseeing the expenses for research projects.

8.8 Reports on grant-funded research must be submitted by the project performers to the SID and defended at a meeting of the STC.

#### 9 Rights and Obligations of Participants and Winners of the Competition

9.1 Participants have the right to:

- Receive comprehensive information from the contest organizer about the terms and procedure of the contest;

- Withdraw or modify their application no later than two days before the contest.

9.2 Winners are obliged to:

- Submit an interim report to the SID on the results of their work six months after receiving the grant;

- At the end of the research period, the grant recipient must submit a final report to the SID on grant usage, detailing the research conducted, its results, and supporting documents in accordance with the project's timeline (Appendix B, Section 5). The report must pass plagiarism checks with a similarity index of at least 70%).

- Within one year of receiving the grant, publish articles based on the research in journals indexed in Web of Science or Scopus databases. If the article is not published during the grant period, it must be provided in published form within 18 months after the grant ends;

9.3 If misuse of grant funds is detected, the university reserves the right to terminate funding and demand the return of financial resources.

9.4 he results of R&D monitoring are documented and serve as the basis for expert opinions on the grant-funded research.

9.5 Publications by project leaders in journals indexed in Web of Science and Scopus are considered only if the journal's URL and ISSN are provided.

9.6 Failure to meet obligations results in a penalty of 0.03% for each day of delay.

#### **10 Amendments to the Document**

The development, formatting, approval, and introduction of this Regulation, as well as amendments to it, must be carried out in accordance with KSTU DP 01–2020.

#### **11 Approval and Enforcement**

	Regulations	IND IV-14-2024
NPJSC "Abylkas Saginov	On Holding a Scientific Project Competition for	Version 01
Karaganda	the Grant of Abylkas Saginov Karaganda	Date 2024.30.10
Technical University"	<b>Technical University for Young Scientists</b>	Page 8 of 15

The Regulation is coordinated with the Board Member – Vice-Rector for Science and Innovation, the Chief Accountant of the Department of Economics, Finance, and Accounting, the Director of the Department of Science and Innovation, Head of the Legal Department and is formalized in the Coordination Sheet (Appendix D).

#### **12 Document Reproduction and Distribution**

Reproduction and distribution of this Regulation must be carried out in accordance with KSTU DP 01–2020.

#### **13 Storage**

Storage of this Regulation must be conducted in accordance with KSTU DP 01–2020.

#### Appendix A (Informative)

#### Information about the Contest Participant for the Grant Competition of Scientific Projects – Abylkas Saginov Karaganda Technical University for Young Scientists

Project Title:	
Full Name of the Executor	
Date of Birth	
Place of Work: Faculty, Department,	
Position, Specialty	
Field of Scientific Interests	
Total Number of Publications	
Contact Information (Phone, Email)	
Signature of the Contest Participant /	
Signature Clarification	
Date of Application Submission	

# Appendix B (Informative)

# ABSTRACT

## for Participation in the Scientific Project Grant Competition at Abylkas Saginov Karaganda Technical University for Young Scientists

- 1. Project Title
- 2. Scientific Problem, Goal, Idea, Relevance, Novelty
- 3. Methods and Approaches to Solving the Problem
- 4. Expected Specific Scientific Results of the Project Implementation
- 5. Project Work Schedule

Type of Work	Period of work execution	Result

## 6. Information about the Contest Participant's Publications

No.	Publication	Type of	Publication	Publication in-
	Details	Publication	from the HAC	dexed in Sco-
			list (yes/no)	pus and Web
				of Science da-
				tabases
				(yes/no)

## 7. Indicators of project implementation

Indicator Name	Quantitative Data
Number of publications prepared within the project	
Articles in journals indexed in Scopus or Web of	
Science citation databases	
Publication of an article in a journal included in the	
CQAES list	
Publication of an article in other journals,	
collections, etc.	
Publication of abstracts of reports presented at	
scientific conferences	
Number of presentations at international scientific	
conferences	

NPJSC "Abylkas Saginov Karaganda Technical University"	Regulations On Holding a Scientific Project Competition for the Grant of Abylkas Saginov Karaganda Technical University for Young Scientists	IND IV-14-2024 Version 01 Date 2024.30.10 Page 11 of 15
Patent applications (lice	nses, software, know-how	

Patent applications (licenses, software, know-how	
for registration)	
Publication of a monograph	

# Signature of the Contest Participant/ Signature Clarification/

Appendix C (Informative)

### **Structure of the Presentation**

1.Relevance

2.Literature Review and Problem Statement

3.Research Aim and Objectives

4.Novelty

5.Level of Development

6.Intellectual Property (patents and pre-patents, author's inventions, state registration of intellectual property)

7.Practical Significance of the Project

8.Socio-Economic Effectiveness

9.Expected Project Outcomes

10.Scope of Application of Results

### Appendix D (Informative)

#### **Project Budget**

Types of expenses	Expense Breakdown	Total Amount (Tenge)
Travel Expenses	Per diem during the business trip	
	Transportation expenses for the	
	business trip	
	Accommodation costs	
Editorial and Publishing	Publication of articles, conference	
Services	abstracts, monographs	
Conference and Forum		
Participation	Participation fees	
Fees,Participation fees		
Acquisition of Fixed	Durchass of equipment literature at	
Assets	Purchase of equipment, literature, etc.	
Acquisition of Materials	Office supplies	
	Other consumables and supplies	
Acquisition of Intangible	Software	
Assets	Sultwale	
TOTAL EXPENSES		

Signature of Contest Participant / Signature Clarification /

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# Appendix E (mandatory)

F.04-2022

# **Approval Sheet**

Position	Full Name	Date	Signature
Member of the Manage- ment Board- Vice-Rector for Science and Innovation	Mekhtiyev A.D.		100
Chief Accountant of the Department of Econom- ics, Finance, and Ac- counting	Abiltussupova A.Kh.		al
Director of SID	Suleev B.D.		Bog
Head of the Legal De-	Ayazbaeva G.S.		Solle
partment			
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	Regulations
NPJSC "Abylkas Saginov	On Holding a Scientific Project Competition for
Karaganda	the Grant of Abylkas Saginov Karaganda
Technical University"	<b>Technical University for Young Scientists</b>

IND IV-14-2024 Version 01 Date 2024.30.10 Page 15 of 15

# Appendix F (mandatory)

F.05-2022

# Acknowledgment Sheet

Position	Full Name	Date	Signature
	<u>.</u>		

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