



APPROVED by the
Decision of the Board of Directors of
NPJSC Abylka Saginov Karaganda
Technical University
(Minutes No. dated April 27, 2022)

*Annual Work Plan of the
Internal Audit Service of NPJSC Abylka Saginov Karaganda Technical
University for 2022*

<i>No.</i>	<i>Name</i>	<i>Risk code</i>	<i>Risk extent</i>	<i>Scale</i>	<i>Time frame and form of completion</i>
1	Developing a draft Procedure for the Internal Audit Service that determines the procedure for work in accordance with the Charter and the Regulations on the Board of Directors of NPJSC A. Saginov KTU	None	High	uncertain	1 quarter of 2022: a draft Procedure (April)
2	Developing job descriptions for employees of the Internal Audit Service of NPJSC A. Saginov KTU	None	Medium	2021	May 2022: draft job descriptions
3	Analyzing risk management and implementation of internal control processes of NPJSC A. Saginov KTU	None	High	2021	First half of 2022: Analytical note

4	Analyzing the audit of the financial statements of NPJSC A. Saginov KTU based on the results of 2021 before submitting it to the meeting of the Board of Directors of NPJSC A. Saginov KTU	None	Medium	2021	within 10 working days from the date of receipt by NPJSC A. Saginov KTU of the Auditor's report on the results of the audit of financial statements: Analytical note
5	Audit of the use of funds aimed at the implementation of measures for the academic mobility of students	None	Medium	2021	Second half of 2022
6	Consideration of the issue of paying the target contribution to the account of the managing organization determined by the decision of the Consortium "BILIM DAMU" organized by higher education	None	Medium	Situational state	1 quarter of 2022
7	Audit of NPJSC A. Saginov KTU reserves	None	Medium	2021	3-4 quarters of 2022
8	Audit of fixed assets of NPJSC A. Saginov KTU	None	Medium	2021	3-4 quarters of 2022
9	Consideration of the issue of concluding an agreement on joint activities between NPJSC A. Saginov KTU and MA "Zhas Orda" LLP	None	Высокая	Situational state	1 quarter of 2022
10	Monitoring implementation of the Internal Audit Service of NPJSC A. Saginov KTU and external auditors (state and independent) recommendations	None	High	From 29/06/2020 to 31/12/2022	4 quarter of 2022: Мониторинг

11	Providing consulting assistance to structural divisions and employees of NPJSC A. Saginov KTU on request	None	High	2021	Within the year: IAS responses
12	Preparing quarterly reports on the activities of the IAS (4 reports)	None	High	quarters of 2022 года	By the results of 1, 2, 3, 4 quarters
13	Preparing the annual report on the activities of the IAS for 2022	None	High	For 2022	As of the end of 2022
14	Participation in preparing the report on the activities of the Audit Committee for 2022	None	Medium	For 2022	On request
15	Developing proposals for synchronizing the competences of the Audit Committee and the Internal Audit Service, as well as clarifying the competencies of the IAS	None	Medium	Situational state	1 quarter of 2022: draft proposals (April)
16	Participation in the inventory of the NPJSC A. Saginov KTU property	None	Medium	of the end of 2022	4 quarter of 2022
17	Developing a draft Annual Work Plan of the Internal Audit Service for 2022, 2023.	None	High	As of 2022, 2023	1 quarter of 2022 for 2022; 4 quarter (December) for 2023
18	Advanced training of employees of the Internal Audit Service of NPJSC A. Saginov KTU	None	Medium	2022	Within the year: supporting documents
19	Participation of employees of the Internal Audit Service in corporate events of NPJSC A. Saginov KTU	None	Low	2022	Within the year